



Hedge Funds at a glance

Best Regulatory Practice

at the Hedge Fund Journal
Awards 2017 and 2016

Core strengths

Market leaders, our hedge fund practice has been providing exemplary advice to hedge fund sponsors and managers since this dynamic industry was first established in Europe.

We act for specialist hedge fund firms as well as institutional fund management houses.

Our clients range from new start-ups to leading names in Europe, Asia (through our Hong Kong based team) and the U.S.

Through our alliance with Seward & Kissel LLP for hedge fund and asset management work, we are able to provide coordinated U.S. and European legal advice on all aspects of hedge fund formation as well as U.S., European and Asian regulatory, operational and transactional advice to hedge fund managers and sponsors.

With the largest hedge fund team of any law firm in the UK, we provide the full range of legal services required by hedge fund managers.

Our services

In-depth industry knowledge and expertise in specialist areas equips us to advise on the full range of issues relevant to hedge funds and their managers, including:

- fund structuring, listing and related documentation
- regulatory matters
- trading documentation, including prime brokerage agreements, derivative documentation and stock lending arrangements
- manager ownership structures and related documents
- corporate advice in relation to portfolio investments
- taxation
- employment related matters (both contentious and non-contentious)
- intellectual property issues
- IT matters (including software licensing agreements)

- dispute resolution issues relating to funds and their managers
- AIFMD and EMIR.

AIFMD

- We assisted more than 70 UK managers (including approximately half of the Top 50 in Europe by AUM) managing over 300 AIFs apply for registration as full-scope UK AIFMs by 22 July 2014.
- We made more than 750 filings, across 10 different jurisdictions, under the Article 42 national private placement regimes on behalf of over 50 non-EU managers (including approximately half of the Top 20 US managers by AUM) seeking to market more than 200 AIFs in the EU.

Simmons & Simmons navigator

Our online regulatory service provides information in relation to over 90 jurisdictions and is user-friendly, reliable and cost-effective. The five current products are:

- navigator: funds
- navigator: securities
- navigator: share disclosure
- navigator: corporate finance
- navigator: derivatives

Alliance with Seward & Kissel LLP

- Coordinated approach for funds and managers provides clients with seamless top-tier global representation in the hedge fund and asset management space across Europe, Asia and the United States.
- Dedicated group of Seward & Kissel attorneys for fund formation and regulatory advice (e.g., SEC, CFTC, ERISA, tax and other specialised U.S. advice relevant to funds and their managers).
- Seward & Kissel established what is considered the first hedge fund, A.W. Jones, and has earned numerous best in class awards for its work with hedge funds. The firm has one of the largest and deepest hedge fund practices among U.S. law firms.

Best Onshore Law Firm – Client Service

at the HFM European Hedge
Fund Services Awards 2017

Asset Management Team of the Year

at the Financial News Awards
for Excellence in Legal Services
2016

Best Law Firm for Asset Management

at the AsianInvestor Asset
Management Awards 2016

Key international contacts

Key contact biographies can be viewed at simmons-simmons.com

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